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47389 7590 09/27/2006

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Walter C. Grollitsch (Depositor's name)
 Walter C. Grollitsch (Signature)
 22 Dec. 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/536.672	02/21/2006	Klaus Satzke	AVAN/000309US	4624

TITLE OF INVENTION: FOCUSING DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	12/22/2006	\$1700	12/27/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
HASAN, MOHAMMED A	2873	359-652000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

Avanex Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fremont, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Walter C. Grollitsch

Date 22 Dec. 2006

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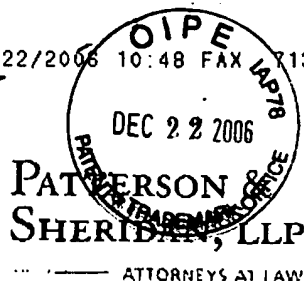
Walter C. Grollitsch

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COMMISSIONER FOR PATENTS
Examiner: MOHAMMED A. HASAN
Group Art: 2873
FAX NO: 571-273-2885
COMPANY: USPTO
FROM: Walter C. Grollitsch
PAGE(S) with cover: 3
ORIGINAL TO FOLLOW? ☐ YES ☒ NO

ISSUE FEE TRANSMITTAL

TITLE: FOCUSING DEVICE
U.S. SERIAL NO.: 10/536,672
CONFIRMATION NO.: 4624
CUSTOMER NO.: 47389
FILING DATE: February 21, 2006
INVENTOR: Klaus Stazke
EXAMINER: Mohammed A. Hasan

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